

#2

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**



In re application of: **Chastain et al.** § Group Art Unit: **Not assigned**  
Serial No.: **Not assigned** § Examiner: **Not assigned**  
Filed: \_\_\_\_\_ § Attorney Docket No.: **RSW920010065US1**  
For: **Method and System for** §  
**Automated Research Using Electronic** §  
**Book Highlights and Notations** §  
§

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97**

Hon. Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. 1.97.

Please charge any fees necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0461. If any extension of time is required, such extension is hereby requested. Please charge any additional required fee for extension of time to IBM Corporation Deposit Account No. 09-0461. A duplicate copy of this document is enclosed.

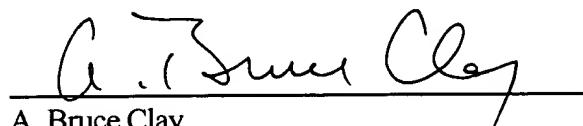
In accordance with 37 C.F.R. 1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. 1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standards of materiality defined in 37 C.F.R. 1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. 1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to

the Examiner. In accordance with 37 C.F.R. 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

This Information Disclosure Statement is being filed under 37 C.F.R. § 1.97(b) within three months of the filing date of the application, or before the mailing date of a first office action on the merits. No fee is required.

Respectfully submitted,

Date: August 2, 2001

  
A. Bruce Clay  
Reg. No. 32,121  
International Business Machines Corporation  
Intellectual Property Law  
Dept T 81, Bldg 062  
PO Box 12195  
Research Triangle Park, NC 27709  
919/254-6717